

MINUTES OF FINANCE, AUDIT AND RISK COMMITTEE

File Reference: ECM 8322905

Meeting Date: Tuesday, 14 July 2020 at 1pm

Venue: Civic Centre, Liardet Street, New Plymouth

Members Present:

Councillor Richard Handley (Chairperson), Ms Adrienne Young-Cooper (Deputy Chairperson), Mayor Neil Holdom, Councillors Tony Bedford, Amanda Clinton-Gohdes, Stacey Hitchcock and Richard Jordan

Non-members Present:

Councillors David Bublitz, Anneka Carlson, Harry Duynhoven, Colin Johnston and Marie Pearce.

Teleconference

- Darren Williamson (Marsh) - Insurance Arrangements 2020/21 (Tab 3)
- David Seath (Deloitte) - Internal Audit Plan 2020/21 (Tab 5)

Staff in Attendance:

Craig Stevenson, Nadia Phillips, Rowan Betts, David Langford, Teresa Turner, Alison Trustrum-Rainey, Steve McIntosh, Ben Ingram and Richard Gater.

Declarations

- Committee members confirmed their independence and declared that there were no conflicts of interest.
- Management confirmed that there had been no security breaches.
- Management confirmed that there were no concerns regarding internal controls.
- Management confirmed that Council is compliant with legislation relevant to its activities with the exclusion of matters outlined in the Quarterly Legal Compliance report.

Minutes

Finance, Audit and Risk Committee Resolution:

Mayor Holdom)

Cr Clinton-Gohdes)

That the minutes of the Finance, Audit and Risk committee meeting (26 May 2020), and the proceedings of the said meeting, as previously circulated, be taken as read and confirmed as a true and accurate record.

Carried

**MATTERS FINALLY DETERMINED BY THE COMMITTEE UNDER DELEGATED AUTHORITY
AND REFERRED TO THE COUNCIL FOR INFORMATION AND RECORD**

1. **Risk Management Update July 2020**

ECM8308528

This report provides an update of risk management activities for monitoring and review purposes.

Finance, Audit and Risk Resolution:

Mayor Holdom)

Cr Hitchcock)

That, having considered all matters raised in the report, the report be noted.

Carried

2. **Risk Management Framework Review 2020**

ECM8308328

This report provides a draft revision of the Risk Management Framework for adoption.

Finance, Audit and Risk Resolution:

Cr Clinton-Gohdes)

Ms Young-Cooper)

That, having considered all matters raised in the report, the revised Risk Management Framework be adopted.

Carried

3. **Insurance Arrangements 2020/21**

ECM8308547

This report provides an update of the Council's insurance arrangements for 2020/21.

Finance, Audit and Risk Resolution:

Cr Handley)

Cr Jordan)

That, having considered all matters raised in the report, Council note the renewal of the Council's insurance arrangements for 2020/21.

Carried

4. **NPDC's Response to the Office of the Auditor-General's Public on Local Government Procurement May 2020**

ECM8308544

This report provides members with information on how Council is positioned relative to the recommendations of the Office of the Auditor-General in its May 2020 article on Local Government procurement.

Finance, Audit and Risk Resolution:

Cr Jordan)

Cr Clinton-Gohdes)

That, having considered all matters raised in the report, the report be noted.

Carried

5. **Internal Audit Plan 2020/21**

ECM8308313

This report attaches the Internal Audit Plan 2020/22 for the Finance, Audit and Risk Committee's approval, and provides an update of progress with the remaining work from 2019/20 plan.

Finance, Audit and Risk Resolution:

Mayor Holdom)

Cr Hitchcock)

That, having considered all matters raised in the report, the Internal Audit Plan 2020-22 be approved.

Carried

6. **Exclusion of the Public for the Remainder of the Meeting**

ECM8315614

This report details items that are recommended should be considered with the public excluded, and the reason for excluding the public.

Finance, Audit and Risk Resolution:

Mayor Holdom)

Cr Handley)

That, having considered all matters raised in the report, the Council hereby resolves that, pursuant to the Local Government Official Information and Meetings Act 1987, the public be excluded from the following parts of the proceedings of this meeting:

- a) Reallocation of Funding – Information Services Operating Reserve
The withholding of the information is necessary to prevent the disclosure or use of official information for improper gain or improper advantage, this particular interest being protected by section 7(2)(j) of the Act.

This resolution is made in reliance on Section 48(1)(a) of the Local Government Official Information and Meetings Act 1987.

Carried