

AUDIT AND RISK COMMITTEE

File Reference: ECM7959431
Meeting Date: Wednesday, 13 March 2019 at 2pm
Venue: Council Chamber
Members Present: Councillor Richard Handley (Chairperson);
Councillors Richard Jordan, Roy Weaver and John
Williams; Ms Adrienne Young-Cooper

Apologies: Mayor Neil Holdom

Non-members Present: Councillors John McLeod, Stacey Hitchcock and Alan
Melody

Staff in Attendance: Nadia Phillips, Liam Hodgetts, Julie Straka, David
Langford, Rowan Betts, Richard Gater, Steve
McIntosh, Alison Trustrum-Rainey, Joy Buckingham

David Seath (Deloitte) was also in attendance.

Declarations:

- Committee members confirmed their independence and declared that there were no conflicts of interest
- Management confirmed that there had been no security breaches.
- Management confirmed that there were no concerns regarding internal controls.

Acknowledgment

Councillor Handley extended a warm welcome, on behalf of the Audit and Risk Committee, to the Council's new Chief Financial Officer, Joy Buckingham.

Apologies

Audit and Risk Committee Resolution

Cr Williams)

Cr Weaver)

That the apology for absence from Mayor Neil Holdom be received.

Carried

Minutes

Audit and Risk Committee Resolution

Cr Jordan)

Ms Young-Cooper)

That the minutes of the Audit and Risk Committee meeting (20 November 2018), and the proceedings of the said meeting, as previously circulated, be taken as read and confirmed as a true and accurate record.

Carried

**MATTERS FINALLY DETERMINED BY THE COMMITTEE UNDER DELEGATED AUTHORITY
AND REFERRED TO THE COUNCIL FOR INFORMATION AND RECORD**

1. Proposed Audit and Risk Committee Work Programme for 2019
ECM7943361

This report provides the Audit and Risk Committee with a draft work programme that will enable the Committee to fulfil its responsibilities as delegated by the full Council.

Audit and Risk Committee Resolution:

Cr Weaver)

Cr Williams)

That, having considered all matters raised in the report, the draft work programme for the Audit and Risk Committee for 2019 be approved.

Carried

2. Risk Management Update March 2019

ECM7943340

This report provides an update of risk management activities for monitoring and review purposes.

Audit and Risk Committee Resolution:

Ms Young-Cooper)

Cr Jordan)

That, having considered all matters raised in the report, the report be noted.

Carried

3. Legal Compliance Summary March 2019

ECM7943356

This report provides a summary of the process used to demonstrate legal compliance by Council staff and what actions are being taken to address the non-compliances identified.

Audit and Risk Committee Resolution:

Cr Weaver)

Cr Williams)

That, having considered all matters raised in the report, the report be noted.

Carried

4. Audit New Zealand Audit Engagement Letter For The Year Ended 30 June 2019 (2018/19)

ECM7939343

The matter for consideration is the Audit New Zealand audit engagement letter to the Council on the audit of New Plymouth District Council for three years, including the year ended 30 June 2019. This was approved last year and is included for your information as it continues to apply for the current year audit.

Audit and Risk Committee Resolution:

Ms Young-Cooper)

Cr Williams)

That, having considered the report, that the letter signed last year, for the audit for the year ended 30 June 2019, be noted.

Carried

5. Audit Management Letter For The Year Ending 30 June 2018

ECM7951464

The matter for consideration is to note the finalised Management Letter prepared by Audit New Zealand as part of the annual audit of the 2018 Annual Report.

Audit and Risk Committee Resolution:

Cr Weaver)

Cr Jordan)

That, having considered all matters raised in the report, the report be noted.

Carried

6. Internal Audit Update March 2019

ECM7943349

This report provides an update of internal audit activities since the November 2018 meeting of the Audit and Risk Committee.

Audit and Risk Committee Resolution:

Ms Young-Cooper)

Cr Handley)

That, having considered all matters raised in the report:

- a) the report be noted;
- b) Council officers report back to this committee on the Capital Expenditure Business Case process.

Carried

ITEMS FOR FINAL DETERMINATION BY THE COUNCIL

1. **Water Safety Plan Implementation Report 2017 / 18**

ECM7805241

This report summarises compliance and implementation with the approved Water Safety Plans for New Plymouth, Inglewood, Oakura and Okato for the 2017/18 compliance year.

Audit and Risk Committee Recommendation:

Cr Handley)

Cr Jordan)

That, having considered all matters raised in the report, the report be noted.

Carried

Meeting closed at 4.08pm