

## **FINANCE, AUDIT AND RISK COMMITTEE**

**File Reference:** ECM 8398227

**Meeting Date:** Tuesday 20 October 2020 at 1pm

**Venue:** Council Chamber

### **Members Present:**

Councillor Richard Handley (Chairperson); Ms Adrienne Young-Cooper (Deputy Chairperson), Mayor Neil Holdom and Councillors Tony Bedford, Amanda Clinton-Gohdes, Stacey Hitchcock and Richard Jordan

### **Non-members Present:**

Councillors Anneka Carlson, David Bublitz, Sam Bennett, Dinnie Moeahu and Colin Johnston

### **Staff in Attendance:**

Craig Stevenson, Julie Straka, Teresa Turner, Jan Holdt, David Langford, Jacqueline Baker, Rowan Betts and Steve McIntosh

### **Declarations**

- Committee members confirmed their independence and declared that there were no conflicts of interest.
- Management confirmed that there had been no security breaches.
- The Chief Executive advised that since the last meeting he had become aware of a potential issue under the Council's Fraud Policy. The matter involves a former employee and is understood to be of a relatively minor financial sum. The matter is still under investigation and no further details are yet available.
- Management confirmed that Council is compliant with legislation relevant to its activities (with the exclusion of exceptions reported in the most recent quarterly legal compliance summary).

### **Minutes**

#### *Finance, Audit and Risk Committee Resolution*

Mayor Holdom            )

Cr Hitchcock            )

That the minutes of the Finance, Audit and Risk Committee meeting (14 July 2020), and the proceedings of the said meeting, as previously circulated, as previously circulated and amended so the fourth bullet point of declarations reads "Management confirmed that council is compliant with legislation relevant to its activities with the exclusion of the matters outlined in the Quarterly Legal Compliance report" be taken as read and confirmed as a true and accurate record.

Carried

**MATTERS FINALLY DETERMINED BY THE COMMITTEE UNDER DELEGATED AUTHORITY  
AND REFERRED TO THE COUNCIL FOR INFORMATION AND RECORD**

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1. **Risk Management Update**

This report provides an update of risk management activities for monitoring and review purposes.

*Finance, Audit and Risk Committee Resolution*

Mayor Holdom )

Cr Clinton-Gohdes )

That, having considered all matters raised in the report, the report be noted.

Carried

2. **Internal Audit – Terms of Reference for the reviews**

ECM 8375226

This report provides for members' information the Terms of Reference for the COVID-19 focused procurement review, and the fraud and risk data analytics review for 2020.

*Finance, Audit and Risk Committee Resolution*

Ms Young-Cooper )

Cr Clinton-Gohdes )

This report provides for members' information the Terms of Reference for the COVID-19 focused procurement review, and the fraud and risk data analytics review for 2020.

Carried

**ITEMS FOR FINAL DETERMINATION BY THE COUNCIL**

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3. **Carry Forwards**

ECM8383966

The matter for consideration by the Council is to consider for inclusion in the revised budgets for 2020/21 various budget items (and their associated funding) not completed during the 2019/20 financial year.

*Finance, Audit and Risk Committee Resolution*

Cr Hitchcock )

Ms Young-Cooper )

That having considered all matters raised in the report the Council approves the attached schedule of carry-forwards of budgeted items from 2019/20 and the associated funding be included in the 2020/21 revised budget.

Carried

**MATTERS FINALLY DETERMINED BY THE COMMITTEE UNDER DELEGATED AUTHORITY  
AND REFERRED TO THE COUNCIL FOR INFORMATION AND RECORD**

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4. **Exclusion of the Public from the Remainder of the Meeting**

ECM8380117

This report details items that are recommended should be considered with the public excluded, and the reason for excluding the public.

*Finance, Audit and Risk Committee Resolution*

Mayor Holdom )

Cr Handley )

That having considered all matters raised in the report, the Council hereby resolves that:

- a) Pursuant to the Local Government Official Information and Meetings Act 1987, the public be excluded from the following parts of the proceedings of this meeting:
  - i) Draft Annual report (for the year ending 30 June 2020)  
The withholding of the information is necessary due to an obligation of confidence and to ensure the information avenue remains open, as it is in the public interest for it to do so. This particular interest being protected by section 7(2)(c)(i) of the Act.
  - ii) Software Project Funding  
The withholding of the information is necessary to enable the Council to carry out, without prejudice or disadvantage, negotiations. This particular interest being protected by section 7(2)(i) of the Act.
- b) Ms Debbie Perera, Audit NZ, be permitted to remain in the meeting for the discussion and debate on the Draft Audit Management Report for the year ending 30 June 2020

This resolution is made in reliance on Section 48(1)(a) of the Local Government Official Information and Meetings Act 1987.

Carried