

## **FINANCE, AUDIT AND RISK COMMITTEE**

**File Reference:** ECM8359098

**Meeting Date:** Tuesday 25 August 2020 at 1pm

**Venue:** Civic Centre, Liardet Street, New Plymouth

### **Members Present:**

Councillor Richard Handley (Chairperson), Mayor Neil Holdom, Councillors Tony Bedford, Amanda Clinton-Gohdes, Stacey Hitchcock and Richard Jordan

### **Apologies:**

Ms Adrienne Young-Cooper (Deputy Chairperson)

### **Non-members Present:**

- Councillors Harry Duynhoven, Sam Bennett, Colin Johnston, David Bublitz, and Councillor Anneka Carlson (via Zoom)
- Howie Tamati (Co-Chair – Te Huinga Taumatua) (Te Kotahitanga o Te Atiawa)
- Johnathan Marshall (Waitara Community Board Chairperson) (via Zoom)

### **Staff in Attendance:**

Craig Stevenson, Nadia Phillips, Julie Straka, Joy Buckingham, Rowan Betts, Frieda Winstanley, David Langford, Paul Lamb, Teresa Turner, Steve McIntosh, and Liam Hodgetts (via Zoom)

### **Apologies**

#### *Finance, Audit and Risk Committee Resolution:*

Mayor Holdom )

Cr Clinton-Gohdes )

That the apology for absence from Ms Adrienne Young-Cooper be received.

Carried

### **Declarations**

- Committee members confirmed their independence and declared that there were no conflicts of interest.
- Management confirmed that there had been no security breaches.
- Management confirmed that there were no concerns regarding internal controls.
- Management confirmed that Council is compliant with legislation relevant to its activities with the exclusion of the matters outlined in the Quarterly Legal Compliance report

## Minutes

### Finance, Audit and Risk Committee Resolution:

Cr Handley )

Mayor Holdom )

That the minutes of the Finance, Audit and Risk Committee meeting (14 July 2020), and the proceedings of the said meeting, as previously circulated and amended so the fourth bullet point of declarations reads "Management confirmed that council is compliant with legislation relevant to its activities with the exclusion of the matters outlined in the Quarterly Legal Compliance report" be taken as read and confirmed as a true and accurate record.

Carried

## **MATTERS FINALLY DETERMINED BY THE COMMITTEE UNDER DELEGATED AUTHORITY AND REFERRED TO THE COUNCIL FOR INFORMATION AND RECORD**

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### **1. Legal Compliance Summary August 2020**

ECM8339915

This report provides a summary of the process used to demonstrate legal compliance by Council staff and what actions are being taken to address the non-compliances identified.

### Finance, Audit and Risk Resolution:

Cr Bedford )

Cr Hitchcock )

That, having considered all matters raised in the report, the report be noted.

Carried

### **2. Deep Dive of Council's Management of Risk Throughout its Supply Chain**

ECM8339937

The purpose of this deep dive is to outline how Council has responded to the risk of unintentionally procuring goods and/or services from those who use exploitative labour and/or poor environmental practices.

### Finance, Audit and Risk Resolution:

Mayor Holdom )

Cr Clinton-Gohdes )

That, having considered all matters raised in the report, the report be noted.

Carried

**3. Internal Audit Update August 2020**

ECM8339930

This report provides an update of internal audit activities since the last update at the May 2020 meeting of the Finance, Audit and Risk Committee.

*Finance, Audit and Risk Resolution:*

Cr Handley )

Cr Clinton-Gohdes )

That, having considered all matters raised in the report, the report be noted.

Carried

**4. Reserves as at 30 June 2020**

ECM8336151

This report is to provide a descriptive summary of the function, purpose and balances of Council Reserves as at 30 June 2020.

*Finance, Audit and Risk Resolution:*

Cr Jordan )

Mayor Holdom )

That, having considered all matters in the report, the report be noted.

Carried

**5. SENSITIVE EXPENDITURE REPORT TO 30 JUNE 2020**

ECM8336882

This report presents elected member sensitive expenditure for the period November 2019 to 30 June 2020. This covers the period since the 2019 triennial election.

*Finance, Audit and Risk Resolution:*

Mayor Holdom )

Cr Handley )

That, having considered all matters in the report, the report be noted.

Carried

**ITEMS FOR FINAL DETERMINATION BY THE COUNCIL**

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**6. Update to Council's Delegations Register**

ECM8340473

The matter for consideration by the Council is updating the Council's Delegation Register to ensure that the delegations are current and delegated to the appropriate level.

The report recommends that the Council delegates its powers and duties to specified Council officers, as set out in this report, to ensure that the Council officers have the necessary authority to undertake the functions, powers, or duties on behalf of the Council.

*Finance, Audit and Risk Recommendation:*

Cr Hitchcock )

Cr Jordan )

That having considered all matters raised in the report, the Council:

- a) delegates the powers and duties under the sections set out in the Appendices of the attached Schedule, to the specified Council officers;
- b) delegates all powers and duties currently delegated to the Council's "District Planning Lead" in the Council's Delegations Register\* to the new position of "Manager Planning";
- c) delegates all powers and duties currently delegated to the Council's "Senior Planning Adviser" in the Council's Delegations Register\* to the new position of "District Plan Programme Coordinator";
- d) directs that the Council's Delegations Register\* be updated accordingly.

(\*available at: <http://delegations.npdc.nz/>)

Carried

**7. Exclusion of the Public for the Remainder of the Meeting**

ECM8345012

This report details items that are recommended should be considered with the public excluded, and the reason for excluding the public.

*Finance, Audit and Risk Resolution:*

Mayor Holdom )

Cr Handley )

That having considered all matters raised in the report, the Council hereby resolves that, pursuant to the Local Government Official Information and Meetings Act 1987, the public be excluded from the following parts of the proceedings of this meeting:

- a) Fraud Assessment Review Update  
The withholding of the information is necessary to prevent the disclosure or use of official information for improper gain or

improper advantage, this particular interest being protected by section 7(2)(j) of the Act.

This resolution is made in reliance on Section 48(1)(a) of the Local Government Official Information and Meetings Act 1987.

Carried